

2018/19

Risk	Cause / Effect	Current Mitigations	Inherent Risk	Actions Needed	Residual Risk	Risk Owner	Links to Strategic Purposes
Non Compliance with Health and Safety Legislation	<p>Cause:</p> <ul style="list-style-type: none"> Consequence of Council action Negligence by Council Actions beyond Council control <p>Effect:</p> <ul style="list-style-type: none"> Reputation affected Legal action against Council Financial impact 	<ul style="list-style-type: none"> Standard Operating Procedures -SOP (H&S etc) Health and Safety Committee meets regularly Training for staff Health-checks First Aid in place Safeguarding Policy and Procedures Risk Assessments Updated inspection policy Continued updates to Health and Safety Committee 	Impact – 4 Likelihood – 2 = 8	<ul style="list-style-type: none"> Development of Corporate H&S Measures Review of corporate capacity to support H&S 	Impact – 4 Likelihood – 2 = 8	Deb Poole	All
Decisions made on savings / cuts that are not informed by robust data and evidence	<p>Cause:</p> <ul style="list-style-type: none"> Requirement for savings to balance budget Unanticipated cost pressures / demand on services Pressure from other partners <p>Effect:</p> <ul style="list-style-type: none"> Longer term improvement / 	<ul style="list-style-type: none"> Robust budget-setting process in place Developed budget bids for pressures and details of savings proposed using 5 case model Data used to evidence need in business cases Performance Dashboard in place 	Impact – 4 Likelihood – 3 =12	<ul style="list-style-type: none"> Implementation of Enterprise Resource System to improve functionality of system and access to budget managers Improve consistency and timeliness of information to enable decisions to be made in an 	Impact – 4 Likelihood -2 = 8	Jayne Pickering	All

2018/19

	<p>innovation / efficiency is hindered</p> <ul style="list-style-type: none"> Impact on organisation, staff and residents Impact on Transformation Programme 	<ul style="list-style-type: none"> Established "whole-life" or "end to end" approach to assessment of savings proposals 		<p>informed way</p> <ul style="list-style-type: none"> Improve cost recovery information 			
<p>Managing the impact of National Changes – financial / social economic or environmental which may have a detrimental impact on service delivery or quality (eg Brexit / Universal Credit)</p>	<p>Cause:</p> <ul style="list-style-type: none"> Changes to National Policy impacting on services at a local level Lack of resource to meet the demand on the service Reduction in funding or revenue available Funding for new initiatives not available Service cessation <p>Effect:</p> <ul style="list-style-type: none"> Reputation affected 	<ul style="list-style-type: none"> Budget Scrutiny cross party meeting to review financial issues on a regular basis Regular consideration at management team of National Issues Medium Term Financial Plan in place with assumptions on levels of cuts Full review of reserves and balances Officers working with partners and networks to identify issues 	<p>Impact – 4 Likelihood – 4 = 16</p>	<ul style="list-style-type: none"> Reporting regularly to members of National policy changes that may impact on local demand Earlier consideration of budget implications 	<p>Impact – 4 Likelihood – 4 = 16</p>	<p>Jayne Pickering</p>	<p>All</p>

2018/19

	<ul style="list-style-type: none"> Quality of life of residents affected Demand increasing on services Negative Financial impact 	<ul style="list-style-type: none"> 4 year financial plan in place Consider opportunities for alternative service delivery models/ approaches to generate income / reduce cost Ensure updated with legislation and financial impact of changes 					
<p>Business Continuity Plans fail to operate effectively in an incident.</p>	<p>Cause:</p> <ul style="list-style-type: none"> Service plans not all in place, fit for purpose or validated. Plans not implemented or embedded within the culture of the organisation. <p>Effect:</p> <ul style="list-style-type: none"> Damage to property / equipment Service delivery affected Councils' reputation 	<ul style="list-style-type: none"> All services have undertaken a Business Impact Analysis (BIA) resulting in revised Business Continuity Plans Lead officer in place Heads of Service identified as on duty officers 	<p>Impact -3 Likelihood – 4 =12</p>	<ul style="list-style-type: none"> Corporate Business Continuity Plan to be refreshed 	<p>Impact -5 Likelihood -2 = 10</p>	<p>Sue Hanley / Ruth Bamford</p>	<p>All</p>

2018/19

	harmed <ul style="list-style-type: none"> Financial impact 						
IT systems and infrastructure has a major failure	<p>Cause:</p> <ul style="list-style-type: none"> Global virus attack Failure in power supply Storage of data/servers affected <p>Effect:</p> <ul style="list-style-type: none"> Loss of key data Service delivery affected Councils' reputation harmed Financial impact 	<ul style="list-style-type: none"> Business Continuity Plans in place Discrete and remote data storage in place Back-up procedures in place and followed IT business continuity procedures reviewed 	Impact – 3 Likelihood – 3 = 9	<ul style="list-style-type: none"> Continue to assess strength of IT security 	Impact – 3 Likelihood – 2 = 6	Deb Poole	<i>Enabling Services</i>